

Week 1 - Friday

COMP 4500

Last time

- Finished Big Theta examples
- Proof techniques
- Tractability

Questions?

Logical warmup

- For Diwali, Mr. Patel's five daughters gave each other books as presents.
- Each presented four books and each received four books, but no two girls divided her books in the same way.
- That is, only one gave two books to one sister and two to another. **Bharat** gave all her books to **Abhilasha**; **Chandra** gave three to **Esha**.
- Who gave how many books to whom? (Mr. Patel's fourth daughter is named **Deeti**.)

Proving Universal Statements

A useful definition

- We'll start with basic definitions of even and odd to allow us to prove simple theorems
- If n is an integer, then:
 - n is even $\Leftrightarrow \exists k \in \mathbf{Z}$ such that $n = 2k$
 - n is odd $\Leftrightarrow \exists k \in \mathbf{Z}$ such that $n = 2k + 1$
- Since these are bidirectional, each side implies the other

Generalizing from the generic particular

- Pick some specific (but arbitrary) element from the domain
- Show that the property holds for that element, just because of that properties that any such element must have
- Thus, it must be true for all elements with the property
- Example: $\forall x \in \mathbf{Z}$, if x is even, then $x + 1$ is odd

Direct proof

- Direct proof uses the method of generalizing from a generic particular, following these steps:
 1. Express the statement to be proved in the form $\forall \mathbf{x} \in D$, if $P(\mathbf{x})$ then $Q(\mathbf{x})$
 2. Suppose that \mathbf{x} is some specific (but arbitrarily chosen) element of D for which $P(\mathbf{x})$ is true
 3. Show that the conclusion $Q(\mathbf{x})$ is true by using definitions, other theorems, and the rules for logical inference

Direct proof example

- Prove the sum of any two odd integers is even.

Proof by contradiction

- In a proof by contradiction, you begin by assuming the **negation** of the conclusion
- Then, you show that doing so leads to a logical impossibility
- Thus, the assumption must be false and the conclusion true

Contradiction formatting

- A proof by contradiction is different from a direct proof because you are **trying** to get to a point where things don't make sense
- You should always clearly state that it's a **proof by contradiction**
- You will reach a point where you have p and $\sim p$, mark that as a **contradiction**
- If you're doing a proof by contradiction and you actually show the thing you wanted to prove in the first place, **it's not a proof!**

Proof by contradiction example

- **Theorem:** There is no integer that is both even and odd.
- **Proof by contradiction:** Assume that there is an integer that is both even and odd

$\sqrt{2}$ is irrational

Theorem: $\sqrt{2}$ is irrational

Proof by contradiction:

1. Suppose $\sqrt{2}$ is rational
2. $\sqrt{2} = m/n$, where $m, n \in \mathbf{Z}$, $n \neq 0$ and m and n have no common factors
3. $2 = m^2/n^2$
4. $2n^2 = m^2$
5. $2k = m^2$, $k \in \mathbf{Z}$
6. $m = 2a$, $a \in \mathbf{Z}$
7. $2n^2 = (2a)^2 = 4a^2$
8. $n^2 = 2a^2$
9. $n = 2b$, $b \in \mathbf{Z}$
10. 2 divides m and 2 divides n
11. $\sqrt{2}$ is irrational



1. Negation of conclusion
2. Definition of rational
3. Squaring both sides
4. Multiply both sides by n^2
5. Square of integer is integer
6. Even x^2 implies even x (Proven elsewhere)
7. Substitution
8. Transitivity
9. Even x^2 implies even x
10. Conjunction of 6 and 9, **contradiction**
11. By contradiction in 10, supposition is false

Asymptotic Order of Growth

Rule of thumb

- We want a way to bound the size of an algorithm's running time in terms of its input size
- Measuring the exact number of operations would be a lot of detailed work
 - Which would probably be invalid in a different programming language or on a different processor
- Instead, a simplified, rough outline of the speed at which a running time increases with input size is more useful

Upper bounds

- Let $T(n)$ be the running time of an algorithm
- Let $f(n)$ be a non-decreasing function
- $T(n)$ is $O(f(n))$ if and only if
 - $T(n) \leq c \cdot f(n)$ for all $n \geq n_0$ where $n_0 \geq 0$
 - for **some** positive real numbers c and n_0
- In other words, past some arbitrary point, with some arbitrary scaling factor, $f(n)$ is at least as big
- We say that $T(n)$ is **upper bounded** by $f(n)$

Lower order terms don't matter

- Why not?
- We can assume that $n \geq 1$
- In that situation, $n \leq n^2 \leq n^3 \leq n^4$, etc.
- Thus, they can get wrapped into our constant:
 - $pn^2 + qn + r \leq pn^2 + qn^2 + rn^2 = (p + q + r)n^2$
- From a practical perspective, lower order terms will also have relatively no impact when n gets large

Lower bounds

- Let $T(n)$ be the running time of an algorithm
- Let $f(n)$ be a non-decreasing function
- $T(n)$ is $\Omega(f(n))$ if and only if
 - $T(n) \geq \varepsilon \cdot f(n)$ for all $n \geq n_0$ where $n_0 \geq 0$
 - for **some** positive real numbers ε and n_0
- In other words, past some arbitrary point, with some arbitrary scaling factor, $f(n)$ is no bigger
- We say that $T(n)$ is **lower bounded** by $f(n)$

Tight bounds

- Let $T(n)$ be the running time of an algorithm
- Let $f(n)$ be a non-decreasing function
- If $T(n)$ is $O(f(n))$ and $\Omega(f(n))$, we say that $T(n)$ is $\Theta(f(n))$
- In other words, past some arbitrary point, with some arbitrary scaling factor, $f(n)$ grows at about the same rate
- We say that $T(n)$ is **tightly bounded** by $f(n)$

Another way to look at tight bounds

- Given two functions $f(n)$ and $g(n)$, if

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = c > 0$$

- Then $f(n)$ is $\Theta(g(n))$ (and vice versa)
- Why?
 - Because of how a limit works, there is some n beyond which the ratio of $f(n)$ to $g(n)$ will be between $\frac{1}{2}c$ and $2c$, making $f(n)$ both $O(g(n))$ and $\Omega(g(n))$

Abuse of notation

- Both this book and many others "abuse" notation by saying things like:
 - $T(n) = O(n^2)$
 - $T(n) = \Omega(\log n)$
 - $T(n) = \Theta(\sqrt{n})$
- Those equal signs do **not** represent mathematical equality
- Instead, they should be read "**is**"
- It's a shorthand
- I recommend that you do **not** use it

Properties of Asymptotic Bounds

Transitivity

- If $f(n)$ is $O(g(n))$ and $g(n)$ is $O(h(n))$, then $f(n)$ is $O(h(n))$
- If $f(n)$ is $\Omega(g(n))$ and $g(n)$ is $\Omega(h(n))$, then $f(n)$ is $\Omega(h(n))$
- If $f(n)$ is $\Theta(g(n))$ and $g(n)$ is $\Theta(h(n))$, then $f(n)$ is $\Theta(h(n))$
- Prove it.

Sums

- If $f(n)$ is $O(h(n))$ and $g(n)$ is $O(h(n))$, then $f(n) + g(n)$ is $O(h(n))$
- Prove it.
- If $g(n)$ is $O(f(n))$, then $f(n) + g(n)$ is $\Theta(f(n))$
 - This is another way of showing that lower order terms don't matter.

Polynomial bounds

- A polynomial of degree d can be written as $f(n) = a_0 + a_1n + a_2n^2 + \dots + a_dn^d$, where $a_d > 0$
- For any such polynomial, $f(n)$ is $\Theta(n^d)$
- To prove this, note that any term $a_jn^j \leq |a_j|n^d$ when $n > 1$

Logarithms

- There is a function called the logarithm with base **b** of **x** defined from **\mathbf{R}^+** to **\mathbf{R}** as follows:
 - $\log_b x = y \Leftrightarrow b^y = x$
- Logarithms are **very** slowly growing functions, slower than **any** polynomial function:
 - For any **$b > 1$** and every **$x > 0$** , $\log_b n$ is **$O(n^x)$**
 - Even **$n^{0.00001}$** grows faster than $\log n$

Bases don't matter

- The base of a logarithm doesn't matter in asymptotic notation
- Why?
- $\log_a n = \frac{\log_b n}{\log_b a}$
- Thus, $\log_b \mathbf{n} = (\log_b \mathbf{a}) \log_a \mathbf{n} = \mathbf{c} \log_a \mathbf{n}$

Exponential bounds

- On the other end of the spectrum, any exponential with a base $r > 1$ will grow faster than any polynomial
 - For every $r > 1$ and every $d > 0$, n^d is $O(r^n)$
 - Even 1.0001^n grows faster than n^{1000}
- People talk about "exponential time," but all exponents are actually different
 - For every $r > 1$ and every $s > r$, r^n is $O(s^n)$

Three-sentence Summary of Stable Marriage and Five Representative Problems

Stable Marriage

Imagine n men and n women

- All $2n$ people want to get married
- All of them are *willing* to marry any of the n members of the opposite gender
- Each woman has ranked all n men in order of preference
- Each man has ranked all n women in order of preference
- We want to match them up so that the marriages are **stable**

Stability

- Consider two marriages:
 - Anna and Bob
 - Caitlin and Dan
- This pair of marriages is unstable if
 - Anna likes Dan more than Bob **and** Dan likes Anna more than Caitlin
or
 - Caitlin likes Bob more than Dan **and** Bob likes Caitlin more than Anna
- We want to arrange all ***n*** marriages such that none are unstable

Upcoming

Next time...

- Finish stable marriage
- Five representative problems
- Implementing stable marriage

Reminders

- **No class Monday!**
- Read Section 2.3
- Assignment 1 is due next Friday